PHILIPPINE BIDDING DOCUMENTS

Procurement of 2021
National Survey on the
Awareness of Private Firms
on the Philippine
Competition Act and the
Philippine Competition
Commission

REI 2021-EPA-0005

Government of the Republic of the Philippines

Fifth Edition November 2020

Preface

These Philippine Bidding Documents (PBDs) for the procurement of Consulting Services through Competitive Bidding have been prepared by the Government of the Philippines (GoP) for use by all branches, agencies, departments, bureaus, offices, or instrumentalities of the Government, including government-owned and/or -controlled corporations (GOCCs), government financial institutions (GFIs), state universities and colleges (SUCs), local government units (LGUs), and autonomous regional government. The procedures and practices presented in this document have been developed through broad experience, and are for mandatory use in projects that are financed in whole or in part by the GoP or the World Bank or any foreign government/foreign or international financing institution in accordance with the provisions of the 2016 Revised Implementing Rules and Regulations (IRR) of Republic Act 9184 (R.A. 9184).

The Bidding Documents shall clearly and adequately define, among others: (a) the objectives, scope, and expected outputs and/or results of the proposed contract; (b) the minimum eligibility requirements of bidders, such as track record to be determined by the Head of the Procuring Entity; (c) the expected contract duration, delivery schedule and/or time frame; and (d) the obligations, duties, and/or functions of the winning bidder.

In order to simplify the preparation of the Bidding Documents for each procurement, the PBDs groups the provisions that are intended to be used unchanged in Section II. Eligibility Documents of Part I; and Error! Reference source not found. (ITB) and Error! Reference source not found. (GCC) of Part II. Data and provisions specific to each procurement and contract should be included in Section III. Eligibility Data Sheet (EDS) of Part I, Error! Reference source not found. (BDS), and Error! Reference source not found. (SCC) of Part II. The forms to be used are provided in the attachments.

Care should be taken to check the relevance of the provisions of the Bidding Documents against the requirements of the specific Consulting Services to be procured. The following general directions should be observed when using the documents:

- (a) All the documents listed in the Table of Contents are normally required for the procurement of Consulting Services. However, they should be adapted as necessary to the circumstances of the particular Project.
- (b) These PBDs are divided into Part I and Part II, which shall be both made available from the time the Request for Expression of Interest is first advertised/posted until the deadline for the submission and receipt of bids
- (c) Specific details, such as the "name of the Procuring Entity" and "address for proposal submission," should be furnished in the EDS, BDS, and SCC. The final documents should contain neither blank spaces nor options.
- (d) This Preface and the footnotes or notes in italics included in the Request for Expression of Interest, EDS, BDS, SCC, Terms of Reference, and Appendices are not part of the text of the final document, although they contain instructions that the Procuring Entity should strictly follow. The Bidding Documents should contain no footnotes except **Error! Reference source not found.** of Part II since these provide important guidance to Bidders.

- (e) The criteria for evaluation and the various methods of evaluation in the ITB should be carefully reviewed. Only those that are selected to be used for the procurement in question should be retained and expanded, as required in the BDS. The criteria that are not applicable should be deleted from the BDS.
- (f) The cover should be modified as required to identify the Bidding Documents as to the names of the Project, Contract, and Procuring Entity, in addition to date of issue.
- (g) If modifications must be made to bidding procedures, they can be presented in the BDS. Modifications for specific Project or Contract should be provided in the SCC as amendments to the Conditions of Contract. For easy completion, whenever reference has to be made to specific clauses in the EDS, BDS, or SCC these terms shall be printed in bold type face on Section II. Eligibility Documents, Section I. Instructions to Bidders, and Section III. General Conditions of Contract, respectively.

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Checklist of Eligibility Requirements

1. Eligibility documents Submission Form (Annex A)

Class "A" Documents

Legal Documents

- 2. Registration certificate from:
 - Securities and Exchange Commission (SEC) for partnerships or corporations; or
 - Department of Trade and Industries (DTI) for sole proprietorships; or
 - Cooperative Development Authority (CDA) for cooperatives.
- 3. Mayor's permit issued by the city or municipality where the principal place of business of the prospective bidder is located
- 4. Tax Clearance per E.O. 398, s. 2005, as finally reviewed and approved by the BIR.

Technical Documents

- 5. Statement of Completed Contracts (Annex D)
- 6. Certificate of Good Standing and Satisfactory Completion
- 7. Statement of Ongoing and Awarded but Not Yet Started Contracts (Annex E)
- 8. Statement of Consultant's Nationality (Annex B)
- 9. Curriculum Vitae for the Proposed Professional Staff (Annex C)

Financial Documents

10. Latest Audited Financial Statements (AFS)

Class "B" Document

Legal Documents

11. Valid Joint Venture Agreement (JVA) if JV is in existence or duly notarized statements from all the potential joint venture partners stating that they will enter into and abide by the provisions of the JVA in the instance that the bid is successful.

Section I. Request for Expression of Interest





REQUEST FOR EXPRESSION OF INTEREST FOR

PROCUREMENT OF 2021 NATIONAL SURVEY ON THE AWARENESS OF PRIVATE FIRMS ON THE PHILIPPINE COMPETITION ACT AND THE PHILIPPINE COMPETITION COMMISSION

- 1. The *Philippine Competition Commission* has allotted in CY 2021 Budget pursuant to Section 7.6 of the revised 2016 IRR of RA 9184 and Section 19 of the General Provision of the FY 2021 National Expenditure Program (NEP) an Approved Budget for the Contract (ABC) amounting to *Seven Million Pesos* (*Php 7,000,000.00*) for the *Procurement of 2021 National Survey on the Awareness of Private Firms on the Philippine Competition Act and the Philippine Competition Commission* under the *Request for Expression of Interest (REI) No. 2020-EPA-0005.* Bids received in excess of the ABC shall be automatically rejected at the opening of the financial proposals.
- 2. The *Philippine Competition Commission* now calls for the submission of eligibility documents for the *Procurement of 2021 National Survey on the Awareness of Private Firms on the Philippine Competition Act and the Philippine Competition Commission*. Eligibility documents of interested consultants must be duly received by the BAC Secretariat on or before *02 December 2020*, *12:00NN* at *25*/F *Vertis North Corporate Center 1*, *North Avenue*, *Quezon City*. Opening of the eligibility documents will follow on *02 December 2020*, *2:00 PM* at *25*/F *Vertis North Corporate Center 1*, *North Avenue*, *Quezon City*. Bidders may attend the opening either at the given address or online via Microsoft Teams. Applications for eligibility will be evaluated based on a non-discretionary "pass/fail" criterion.
- 3. Interested bidders may obtain further information from *Philippine Competition Commission* and inspect the Bidding Documents at the address given below during *Office Hours from 8:00 A.M. to 5:00 P.M. (Monday to Friday)*.
- 4. The Bidding Documents Part I may be acquired on *25 November 2020* by interested Consultants from the address below. Bidding Documents Part II may only be acquired by Short Listed Consultants upon payment of the applicable fee for the Bidding Documents, pursuant to the latest Guidelines issued by the GPPB, in the amount of Eight Thousand Pesos (PhP 8,000.00).

It may also be downloaded free of charge from the website of the Philippine Government Electronic Procurement System (PhilGEPS) and the website of the Procuring Entity, provided that Bidders shall pay the applicable fee for the Bidding Documents not later than the submission of their bids.

5. The BAC shall draw up the short list of consultants from those who have submitted the Expression of Interest, including the eligibility documents, and have been determined as eligible in accordance with the provisions of Republic Act 9184 (RA 9184), otherwise known as the "Government Procurement Reform Act", and its Implementing Rules and Regulations (IRR).

The short list shall consist of *five* (5) prospective bidders who will be entitled to submit bids. The criteria and rating system for short listing are:

Criteria	Weight (%)
I. Experience and Capability of the Bidder	40
II. Quality of Personnel to be Assigned to the Project	40
III. Overall Work Commitment	20
Total	100
Hurdle Rate	85%

6. Bidding will be conducted through open competitive bidding procedures using non-discretionary "pass/fail" criterion as specified in the IRR of RA 9184.

Bidding is restricted to Filipino citizens/sole proprietorships, cooperatives, and partnerships or organizations with at least sixty percent (60%) interest or outstanding capital stock belonging to citizens of the Philippines.

- 7. The Procuring Entity shall evaluate bids using the *Quality Cost Based Evaluation/Selection (QCBE/QCBS)* procedure. The criteria and rating system for the evaluation of bids shall be provided in the Instructions to Bidders.
- 8. The contract shall be completed in 2021, approximately within five (5) months from award of contract.
- 9. The *Philippine Competition Commission* reserves the right to reject any and all bids, declare a failure of bidding, or not award the contract at any time prior to contract award in accordance with Section 41 of RA 9184 and its IRR, without thereby incurring any liability to the affected bidder or bidders.
- 10. For further information, please refer to:

THE SECRETARIAT

Bids and Awards Committee Philippine Competition Commission 25F Tower 1, Vertis North Corporate Center, North Avenue, Quezon City

Telephone No.: (02) 771-9722 local 203/204

e-mail: procurement@phcc.gov.ph or ivabano@phcc.gov.ph

25 November 2020

ATTY. JOSEPH MELVIN B. BASAS Chairperson, PCC Bids and Awards Committee

Section II. Eligibility Documents

1. Eligibility Criteria

- 1.1. The following persons/entities shall be allowed to participate in the bidding for Consulting Services:
 - (a) Duly licensed Filipino citizens/sole proprietorships;
 - (b) Partnerships duly organized under the laws of the Philippines and of which at least sixty percent (60%) of the interest belongs to citizens of the Philippines;
 - (c) Corporations duly organized under the laws of the Philippines and of which at least sixty percent (60%) of the outstanding capital stock belongs to citizens of the Philippines;
 - (d) Cooperatives duly organized under the laws of the Philippines; or
 - (e) Persons/entities forming themselves into a joint venture, *i.e.*, a group of two (2) or more persons/entities that intend to be jointly and severally responsible or liable for a particular contract: Provided, however, That Filipino ownership or interest thereof shall be at least sixty percent (60%). For this purpose, Filipino ownership or interest shall be based on the contributions of each of the members of the joint venture as specified in their JVA.
- 1.2. When the types and fields of Consulting Services involve the practice of professions regulated by law, those who will actually perform the services shall be Filipino citizens and registered professionals authorized by the appropriate regulatory body to practice those professions and allied professions specified in the **EDS**.
- 1.3. If the Request for Expression of Interest allows participation of foreign consultants, prospective foreign bidders may be eligible subject to the conditions stated in the **EDS**.
- 1.4. Government owned or –controlled corporations (GOCCs) may be eligible to participate only if they can establish that they (a) are legally and financially autonomous, (b) operate under commercial law, and (c) are not attached agencies of the Procuring Entity.

2. Eligibility Requirements

- 2.1. The following eligibility requirements, together with the Eligibility Documents Submission Form, shall be submitted on or before the date of the eligibility check specified in the Request for Expression of Interest and Clause 5 for purposes of determining eligibility of prospective bidders:
 - (a) Class "A" Documents –

Legal Documents

(i) PhilGEPS Certificate of Registration and Membership in accordance with Section 8.5.2 of the IRR, except for foreign bidders participating in the procurement by a Philippine Foreign Service Office or Post, which shall submit their eligibility documents under Section 24.1 of the IRR, provided, that the winning Consultant shall register with PhilGEPS in accordance with Section 37.1.4 of the IRR:

Technical Documents

- (ii) Statement of the prospective bidder of all its ongoing and completed government and private contracts, including contracts awarded but not yet started, if any, whether similar or not similar in nature and complexity to the contract to be bid, within the relevant period provided in the **EDS**. The statement shall include, for each contract, the following:
 - (ii.1) the name and location of the contract;
 - (ii.2) date of award of the contract;
 - (ii.3) type and brief description of consulting services;
 - (ii.4) consultant's role (whether main consultant, subconsultant, or partner in a JV)
 - (ii.5) amount of contract;
 - (ii.6) contract duration; and
 - (ii.7) certificate of satisfactory completion or equivalent document specified in the **EDS** issued by the client, in the case of a completed contract;
- (iii) Statement of the consultant specifying its nationality and confirming that those who will actually perform the service are registered professionals authorized by the appropriate regulatory body to practice those professions and allied professions in accordance with Clause 1.2, including their respective curriculum vitae.
- (b) Class "B" Document –

If applicable, the Joint Venture Agreement (JVA) in case the joint venture is already in existence, or duly notarized statements from all the potential joint venture partners in accordance with Section 24.1(b) of the IRR of RA 9184.

2.2. The eligibility requirements or statements, the bids, and all other documents to be submitted to the BAC must be in English. If the eligibility requirements or statements, the bids, and all other documents submitted to the BAC are in foreign language other than English, it must be accompanied by a translation

of the documents in English. The documents shall be translated by the relevant foreign government agency, the foreign government agency authorized to translate documents, or a registered translator in the foreign bidder's country; and shall be authenticated by the appropriate Philippine foreign service establishment/post or the equivalent office having jurisdiction over the foreign bidder's affairs in the Philippines. The English translation shall govern, for purposes of interpretation of the bid.

2.3. Prospective bidders may obtain a full range of expertise by associating with individual consultant(s) and/or other consultants or entities through a JV or subcontracting arrangements, as appropriate. However, subconsultants may only participate in the bid of one short listed consultant. Foreign Consultants shall seek the participation of Filipino Consultants by entering into a JV with, or subcontracting part of the project to, Filipino Consultants.

3. Format and Signing of Eligibility Documents

- 3.1. Prospective bidders shall submit their eligibility documents through their duly authorized representative on or before the deadline specified in Clause 5.
- 3.2. Prospective bidders shall prepare an original and copies of the eligibility documents. In the event of any discrepancy between the original and the copies, the original shall prevail.
- 3.3. The Eligibility Documents Submission Form shall be signed by the duly authorized representative/s of the Bidder. Failure to do so shall be a ground for the rejection of the eligibility documents.
- 3.4. Any interlineations, erasures, or overwriting shall be valid only if they are signed or initialed by the duly authorized representative/s of the prospective bidder.

4. Sealing and Marking of Eligibility Documents

- 4.1. Prospective bidders shall enclose their original eligibility documents described in Clause 2.1, in a sealed envelope marked "ORIGINAL ELIGIBILITY DOCUMENTS". Each copy thereof shall be similarly sealed duly marking the envelopes as "COPY NO. ELIGIBILITY DOCUMENTS". These envelopes containing the original and the copies shall then be enclosed in one single envelope.
- 4.2. The original and the number of copies of the eligibility documents as indicated in the **EDS** shall be typed or written in ink and shall be signed by the prospective bidder or its duly authorized representative/s.

4.3. All envelopes shall:

- (c) contain the name of the contract to be bid in capital letters;
- (d) bear the name and address of the prospective bidder in capital letters;

- (e) be addressed to the Procuring Entity's BAC specified in the **EDS**;
- (f) bear the specific identification of this Project indicated in the **EDS**; and
- (g) bear a warning "DO NOT OPEN BEFORE..." the date and time for the opening of eligibility documents, in accordance with Clause 5.
- 4.4 Eligibility documents that are not properly sealed and marked, as required in the bidding documents, shall not be rejected, but the bidder or its duly authorized representative shall acknowledge such condition of the documents as submitted. The BAC shall assume no responsibility for the misplacement of the contents of the improperly sealed or marked eligibility documents, or for its premature opening.

5. Deadline for Submission of Eligibility Documents

Eligibility documents must be received by the Procuring Entity's BAC at the address and on or before the date and time indicated in the Request for Expression of Interest and the **EDS**.

6. Late Submission of Eligibility Documents

Any eligibility documents submitted after the deadline for submission and receipt prescribed in Clause 0 shall be declared "Late" and shall not be accepted by the Procuring Entity. The BAC shall record in the minutes of submission and opening of eligibility documents, the Bidder's name, its representative and the time the eligibility documents were submitted late.

7. Modification and Withdrawal of Eligibility Documents

- 7.1. The prospective bidder may modify its eligibility documents after it has been submitted; provided that the modification is received by the Procuring Entity prior to the deadline specified in Clause 5. The prospective bidder shall not be allowed to retrieve its original eligibility documents, but shall be allowed to submit another set equally sealed, properly identified, linked to its original bid marked as "ELIGIBILITY MODIFICATION" and stamped "received" by the BAC. Modifications received after the applicable deadline shall not be considered and shall be returned to the prospective bidder unopened.
- 7.2. A prospective bidder may, through a letter of withdrawal, withdraw its eligibility documents after it has been submitted, for valid and justifiable reason; provided that the letter of withdrawal is received by the Procuring Entity prior to the deadline prescribed for submission and receipt of eligibility documents.
- 7.3. Eligibility documents requested to be withdrawn in accordance with this Clause shall be returned unopened to the prospective bidder concerned. A prospective bidder that withdraws its eligibility documents shall not be permitted to submit another set, directly or indirectly, for the same project. A prospective bidder that acquired the eligibility documents may also express its intention not to participate in the bidding through a letter which should reach

and be stamped by the BAC before the deadline for submission and receipt of eligibility documents.

8. Opening and Preliminary Examination of Eligibility Documents

8.1. The BAC will open the envelopes containing the eligibility documents in the presence of the prospective bidders' representatives who choose to attend, at the time, on the date, and at the place specified in the **EDS**. The prospective bidders' representatives who are present shall sign a register evidencing their attendance.

In case the submitted eligibility envelopes cannot be opened as scheduled due to justifiable reasons, the BAC shall take custody of the said envelopes and reschedule the opening on the next working day or at the soonest possible time through the issuance of a Notice of Postponement to be posted in the PhilGEPS website and the website of the Procuring Entity concerned.

- 8.2. Letters of withdrawal shall be read out and recorded during the opening of eligibility documents and the envelope containing the corresponding withdrawn eligibility documents shall be returned unopened to the withdrawing prospective bidder.
- 8.3. The eligibility documents envelopes and modifications, if any, shall be opened one at a time, and the following read out and recorded:
 - (h) the name of the prospective bidder;
 - (i) whether there is a modification or substitution; and
 - (j) the presence or absence of each document comprising the eligibility documents vis-à-vis a checklist of the required documents.
- 8.4. The eligibility of each prospective bidder shall be determined by examining each bidder's eligibility requirements or statements against a checklist of requirements, using non-discretionary "pass/fail" criterion, as stated in the Request for Expression of Interest, and shall be determined as either "eligible" or "ineligible." If a prospective bidder submits the specific eligibility document required, he shall be rated "passed" for that particular requirement. In this regard, failure to submit a requirement, or an incomplete or patently insufficient submission, shall be considered "failed" for the particular eligibility requirement concerned. If a prospective bidder is rated "passed" for all the eligibility requirements, he shall be considered eligible to participate in the bidding, and the BAC shall mark the set of eligibility documents of the prospective bidder concerned as "eligible." If a prospective bidder is rated "failed" in any of the eligibility requirements, he shall be considered ineligible to participate in the bidding, and the BAC shall mark the set of eligibility documents of the prospective bidder concerned as "ineligible." In either case, the BAC chairperson or his duly designated authority shall countersign the markings.

9. Short Listing of Consultants

- 9.1. Only prospective bidders whose submitted contracts are similar in nature and complexity to the contract to be bid as provided in the **EDS** shall be considered for short listing.
- 9.2. The BAC shall draw up the short list of prospective bidders from those declared eligible using the detailed set of criteria and rating system to be used specified in the **EDS**.
- 9.3. Short listed consultants shall be invited to participate in the bidding for this project through a Notice of Eligibility and Short Listing issued by the BAC.

10. Protest Mechanism

Decision of the Procuring Entity at any stage of the procurement process may be questioned in accordance with Section 55 of the IRR of RA 9184.

Section III. Eligibility Data Sheet

Eligibility Data Sheet

Eligibility Documents			
1.2	Competition and other similar fields.		
1.3	No further instructions.		
2.1(a)(ii)	The statement of all ongoing and completed government and private contracts shall include all such contracts within <i>five</i> (5) <i>years</i> prior to the deadline for the submission and receipt of eligibility documents.		
2.1(a)(ii.7)	Consultants must provide Certificate of Good Standing, Satisfactory Completion.		
4.2	Each prospective bidder shall submit <i>one</i> (1) <i>original</i> and <i>two</i> (2) <i>copies</i> of its eligibility documents with the following labels:		
	All submissions must be contained and sealed in one (1) package.		
	Each sealed Bids:		
	<header label=""></header>		
	ATTENTION: THE BAC CHAIRPERSON Philippine Competition Commission 25/F Tower 1, Vertis North Corporate Center, North Avenue, Quezon City		
	Name of Project: Invitation to Bid No.:		
	Date & Time of Opening of Eligibility Documents: Submitted by: (Bidder's name and signature) Address of Bidder:		
	"DO NOT OPEN BEFORE DATE AND TIME OF OPENING OF BIDS"		
	<header label=""> sample:</header>		
	 "ORIGINAL ELIGIBILITY DOCUMENTS PLUS TWO COPIES INSIDE" – for the main envelope 		
	2. "ORIGINAL ELIGIBILITY DOCUMENTS" – for the 1 st sub-envelope		
	3. "COPY 1" – for the 2 nd sub-envelope		

	4. "COPY 2" – for the 3 rd sub-envelope			
4.3.(e)	Bids and Awards Committee Philippine Competition Commission 25/F Tower 1, Vertis North Corporate Center, North Avenue, Quezon City			
4.3.(f)	The title and reference number of the Project is: "Procurement of 2021 National Survey on the Awareness of Private Firms on the Philippine Competition Act and the Philippine Competition Commission" Request for Expression of Interest (REI) No. 2020-EPA-005			
5	The address for submission of eligibility documents is 25/F Tower 1, Vertis North Corporate Center, North Avenue, Quezon City. The deadline for submission of eligibility documents is 02 December 2020, 12:00NN. The place of opening of eligibility documents is PCC Meeting Room 3, 25/F Tower 1, Vertis North Corporate Center, North Avenue, Quezon City. The date and time of opening of eligibility documents is 02 December 2020, 02:00PM.			
9.1	Similar contracts shall refer to preparation of survey instruments and sampling designs, provision of trainings for interviewers, enumerators, data encoders, etc., conduct of survey, collection and analysis of data, and reporting of survey results.			
9.2	Criteria Minimum Qualifications Experience and capability of the bidder 40% • Engaged with at least 5 (five) companies/institutions in similar¹ nature of work; and • Engaged with at least two (2) institutions belonging to the public/government sector in similar¹ nature of work. (a) DTI or SEC registration submitted indicating the year of registration and/or other relevant documents; (b) list of completed and ongoing contracts; (c) copies of Certificates of Satisfactory Service Rendered / Certificates			

		of Completion
Quality of personnel to be assigned to the project 40%	 Project Manager Graduate of any degree in social sciences and/or research At least five (5) years of experience in conducting/managing survey/s, including design and implementation At least 24 hours of relevant² training 	Original signed curriculum vitae submitted indicating relevant work experience and educational background, and trainings attended supported by certificates of attendance / participation
	 Statistical Service Executive Graduate of B.S. Statistics At least five (5) years of experience in statistical techniques and sampling design At least 24 hours of relevant² training Electronic Data Processing Manager Graduate of any four (4)-year degree course Two (2) years of experience in designing a system to input and manage data and good command of Stata or R; With at least 24 hours of relevant³ training Tabulation Manager 	Note: Pursuant to Section 33.3 of the revised IRR, there should be no replacement of key personnel before the awarding of the contract, except for justifiable reason, such as illness, death, or resignation provided it is duly supported by relevant certificates, or any delay caused by the procuring entity. Once the contract has been awarded, no replacement shall be allowed until after fifty percent (50%) of the
	 Graduate of any four (4)-year degree course Two (2) years of experience in designing a system to input and manage data and good command of Stata or R; With at least 24 hours of relevant³ training Field Manager Graduate of any four (4)-year degree course Two (2) years of experience in managing the conduct of surveys, preferably with experience in remote interviews; With at least 24 hours of relevant² training 	personnel's man-months have been served, except for justifiable reasons, subject to appropriate sanctions as prescribed in the Philippine Bidding Documents (PBD).
Overall work commitment 20%	At most 5 ongoing projects (including awarded but not yet started contracts)	Originally signed records of on-going (including awarded but not yet started contracts) and previous engagements

			Note: Contract/s that will		
			terminate on or before		
			90 calendar days, from		
			the deadline of		
			submission of eligibility		
			documents for this		
			project, shall not be		
			considered as 'ongoing'.		
	Total = 100				
	¹ Preparation of survey instruments and sampling designs, provision of				
	trainings for interviewers, enumerators, data encoders, etc., conduct of survey,				
	collection and analysis of data, and reporting of survey results. ² Training on statistical techniques, sampling design, survey (or project) management, or conducting interviews (key informants or focus group).				
	³ Training on statistical system design, data management, Stata, R or any				
	other statistical	software, or survey management.			

