

REQUEST FOR PROPOSAL

Standby Facility for Individual and Household Focus Group Discussion, Key Informant Interview, and In-Depth Interviews for the Analysis of PCC Cases and Other Research Initiatives

P.R. No./Date Received: 2021-05-0073 / 24 May 2021

RFQ/P No. / Date: 2021-06-0072 / 08 June 2021

The Philippine Competition Commission invites all eligible and PhilGEPS-registered suppliers, contractors and consultants to quote the best offer for the described item in the attached **Terms of Reference / Technical Specifications Sheet** subject to the Terms and Conditions and within the **Approved Budget for the Contract**.

Required Documents/Information to be submitted as Attachments to the Quotation/Proposal:

- Mayor's/Business Permit / BIR Certificate of Registration in case of individual.
- PhilGEPS Registration Number
- Latest Income/Business Tax Return
- Notarized Omnibus Sworn Statement
- Signed Terms of Reference / Technical Specifications Sheet
- Curriculum Vitae / Professional License
- List of Ongoing projects (including awarded by not yet started contracts)
- Proposed Plan of Approach / Methodology
- Company Profile

This pro-forma quotation maybe submitted through registered or electronic mail to the PCC Bids and Awards Committee (PBAC) Secretariat at above address or email to procurement@phcc.gov.ph or mrgarcia@phcc.gov.ph on or before **15 June 2021, 12:00nn** subject to the following Terms and Conditions:

1. All entries shall be typed or written in a clear legible manner.
2. No alternate quotation/offer is allowed, suppliers who submitted more than one quotation shall be automatically disqualified.
3. All prices offered herein are valid, binding and effective for THIRTY (30) calendar days upon issuance of this document. Alternative bids shall be rejected.
4. Price quotations to be denominated in Philippine Peso shall include all applicable government taxes subject to (BIR 2306) 5% R-VAT and (BIR 2307) 1% (PO) or 2% (JO) deductions.
5. PCC PBAC Technical Working Group may require you to submit documents that will prove your legal, financial and technical capability to undertake this contract.
6. Salient provisions of the IRR of RA 9184: Section 68 - Liquidated Damages and Section 69 - Imposition of Administrative Penalties shall be observed.
7. PCC reserves the right to reject any and all quotations, declare a failure, or not award the contract pursuant to Sec 41 of the same IRR.
8. In case of tie quotations, suppliers' presence are required during tie breaking through draw lots or toss coin.
9. In case supplier pro forma quotation is submitted, conditions will be governed by the submitted signed **Terms of Reference / Technical Specifications Sheet**.

Very truly yours, -



JESON Q. DE LA TORRE
PBAC Chairperson

Item	QTY	ABC	Technical Specifications	Total Amount
				(To be filled-up by the supplier)
1	1	₱ 1,000,000.00	Standby Facility for Individual and Household Focus Group Discussion, Key Informant Interview, and In-Depth Interviews for the Analysis of PCC Cases and Other Research Initiatives	
			(Please see attached Terms of Reference)	
Total Lot ABC		₱ 1,000,000.00	TOTAL Amount:	
Delivery Instructions:			<i>Please see attached Terms of Reference</i>	

(Please provide **complete** information below)

We undertake, if our Proposal is accepted, to supply/deliver the goods in accordance with the specifications and/or delivery schedule.

We agree to abide by this proposal for the price validity period specified in the terms and conditions and it shall remain binding upon us and may be accepted at any time before the expiration of that period.

Until a Purchase/Job Order or a Contract is prepared and executed, this Quotation/Proposal shall be binding upon us. We understand that you are not bound to accept the lowest or any Proposal you may receive.

Signature over Printed Name : _____

Designation/Position : _____

Name of Company : _____

Address : _____

Telephone / Fax : _____

E-mail Address : _____

Company Tax Identification Number : _____



TERMS OF REFERENCE

Standby Facility for Individual and Household Focus Group Discussions, Key Informant Interviews, and In-Depth Interviews for the Analysis of PCC Cases and Other Research Initiatives

I. Background

The Philippine Competition Commission (“PCC”) is an independent quasi-judicial body mandated to implement Republic Act No. 10667 or the Philippine Competition Act (“PCA”). The PCC’s mandate includes reviewing mergers and acquisitions, investigating and adjudicating anti-competitive agreements and abuses of dominant position, and undertaking economic and legal research on competition-related matters.

A joint team of lawyers and economists assess whether certain agreements or conduct undertaken by firms, or proposed mergers or acquisitions are likely to substantially prevent, restrict, or lessen competition in the relevant market, as well as consider any efficiencies which are likely to arise from the transaction. During the assessment, the team considers the broad range of possible factual contexts and the specific competitive effects that may arise in different transactions, such as the structure of the relevant markets, the market position of the entities, the actual or potential competition from entities within or outside of the relevant market, the alternatives available to suppliers and users, and their access to supplies or markets, and any legal or other barriers to entry.

Given the foregoing, methods such as focus group discussions (“FGDs”), key informant interviews (“KIIs”), and in-depth interviews (“IDIs”) among selected respondents pertinent to the case or research can streamline data gathering and facts verification.

II. Objectives

This engagement will facilitate data gathering and facts verification among informants pertinent to PCC cases. Data gathered will be analyzed for use in research projects handled by PCC staff, cases involving mergers and acquisitions, competition enforcement, or cases under litigation or adjudication.

III. Scope of Work

A. FGDs

Based on the task order/s provided by PCC, the service provider shall:

1. Identify participants in the FGD based on the selection criteria provided by PCC staff for a specific case or research initiative;

2. Propose the number of FGDs to be conducted for a case/research initiative to be agreed on with PCC staff;
3. Arrange the logistics for each FGD in consultation with PCC staff;
4. Conduct the FGDs based on the list of topics and issues provided by PCC staff;
5. Provide a facilitator who will introduce the topics, pose the questions, and guide the discussion so that all questions are covered within the allotted time;
6. Document participant responses during the FGD;
7. Write a report that summarizes the FGD proceedings; and
8. Transcribe the FGD

B. KIIs or IDIs

Based on the task order/s provided by PCC, the service provider shall:

1. Identify potential interviewees based on the selection criteria provided by PCC staff for a selected case or research initiative;
2. Propose the number of KIIs or IDIs to be conducted for a case or research initiative to be agreed on with PCC staff;
3. Arrange the logistics for each KII or IDI in consultation with PCC staff;
4. Conduct the KIIs or IDIs based on an interview outline provided by PCC staff;
5. Provide an interviewer who will introduce the topics, pose the questions, and lead the KIIs or IDIs;
6. Document participant responses during the KIIs or IDIs;
7. Write a report that summarizes the KII or IDI proceedings; and
8. Transcribe the KII/IDI

IV. Budget

The total budget under this Terms of Reference is **one million pesos only (Php 1,000,000)** and shall cover the conduct of fifteen (15) FGDs or thirty (30) KIIs/IDIs, or a combination of FGDs and KIIs/IDIs with a 1 FGD : 2 KIIs/IDIs replacement ratio. The number of FGDs or KIIs/IDIs to be conducted are dependent on the need of the PCC, provided that the cost of total FGDs and KIIs/IDIs shall not exceed the total approved budget of the contract. The budget shall cover the remuneration of the service provider and the costs of conducting FGDs/KIIs/IDIs. As a part of their financial proposal, the service provider shall provide the breakdown of expenses per FGD/KII/IDI by filling in Annex A, which shall serve as the basis for the service provider's future billing.

The mode of procurement shall be Negotiated Procurement–Small Value Procurement as provided under the Revised Implementing Rules and Regulations (IRR) of Republic Act (R.A.) No. 9184.

Below is the schedule of tranche payments for each task order:

Milestones	Timeline	% of Amount Indicated in Annex A
Preparatory work (submission of participants, proposed groupings, arrangement of logistics)	Within seven (7) working days upon PCC's notification	30%
Conduct of the actual FGDs/KIIs/IDIs	Within seven (7) working days after preparatory work has concluded	30%
Submission of final report and transcript	Within five (5) working days upon completion of the FGD/KII/IDI	40%

V. Timelines, Deliverables, Schedule, and Mode of Payment

The Contract is expected to begin immediately after notice of award to the service provider. The service provider shall be engaged immediately after contract signing. The PCC shall avail itself of the services of the service provider until 31 March 2022.

The service provider must be able to conduct FGDs/KIIs/IDIs for simultaneous cases if necessary. Furthermore, due to the current limitations brought about by COVID-19 pandemic, the FGDs/KIIs/IDIs shall be conducted through various methods as appropriate (i.e. telephone call, video call or in-person where possible).

The following are the outputs to be delivered for FGDs/KIIs/IDIs, pursuant to details specified in each task order:

1. Preparation of the logistics and submission of participants for the FGDs/KIIs/IDIs within one (1) week upon PCC's notification;
2. Conduct of the actual FGDs/KIIs/IDIs within seven (7) working days after preparatory work has concluded, and,
3. Summary report and transcript within five (5) working days after the completion of the FGDs/KIIs/IDIs

VI. Institutional Arrangement

The service provider shall be engaged by the PCC and shall submit outputs directly to the Economics Office Director or a designated project manager within PCC for review and endorsement for payment. The survey firm should also submit a soft copy of all project files. Date of submission shall be the date the PCC receives all the printed documents or the soft copies of all the project files, whichever may come first.

Payment will be based on the number of FGDs/KIIs/IDIs conducted within the specified time upon issuance by end user of a Certificate of Satisfactory Service per milestone.

VII. Qualifications of the Contractor and its Personnel

The service provider should meet all the requirements set by the Government Procurement Reform Act (R.A. No. 9184) to be eligible to participate in the procurement.

A. Eligibility of the Prospective Bidder/s

To determine if the prospective bidder is eligible to participate in the bidding of this Project, all the legal, technical and financial documents enumerated in the PCC Request for Proposal, and other relevant documents necessary for evaluation as identified in this TOR and other relevant provisions of the RIRR of R.A. No. 9184 should be submitted and be present upon opening of the bids.

Further, the following are the minimum qualifications required for this Project and shall be evaluated based on the documents submitted by the bidder:

Criteria	Minimum Qualifications	Bases
Quality of personnel to be assigned to the project	<p>Project Manager</p> <ul style="list-style-type: none"> Graduate of any bachelor's degree in any relevant field of social science, Communication, or Statistics At least five (5) years of experience in handling Research and/or Project Management related to FGDs/KIIs/IDIs At least 16 hours of relevant training <p>Facilitator</p> <ul style="list-style-type: none"> Graduate of any bachelor's degree in any relevant field of social science, Communication, or Statistics At least five (5) years of experience in handling Research and/or Project Management related to FGDs/KIIs/IDIs At least 16 hours of relevant training 	<p>Original signed curriculum vitae submitted indicating relevant work experience and educational background, and trainings attended supported by certificates of attendance / participation (Indicate in the CV the position the personnel are assigned to)</p> <p><i>Note: Pursuant to Section 33.3 of the revised IRR, there should be no replacement of key personnel before the awarding of the contract, except for justifiable reason, such as illness, death, or resignation provided it is duly supported by relevant certificates, or any delay caused by the procuring entity. Once the contract has been awarded, no replacement shall be allowed until after fifty percent (50%) of the personnel's man-months have been served, except for justifiable reasons, subject to appropriate sanctions as prescribed in the Philippine Bidding Documents (PBD).</i></p>
Experience and capability of the service provider	<ul style="list-style-type: none"> At least three (3) years in business Has engaged with at least five (5) companies/institutions from the private sector in similar or relevant nature of work 	DTI or SEC registration submitted indicating the year of registration and/or other relevant documents

Criteria	Minimum Qualifications	Bases
	<ul style="list-style-type: none"> • Has engaged with at least two (2) institutions belonging to the public/government sector in similar or relevant nature of work as mentioned above • Single largest project within the past five (5) years at least equal to the ABC 	<p>Originally signed copy of List of Ongoing and Completed Contracts within the last five years indicating the contract amount, duration of the contract, activities undertaken and nature/short profile of or description (which can be annexed on the List of Ongoing and Completed Contracts) of engagement with copies of Certificates of Satisfactory Services Rendered or Certificates of Completion of at least one private institution and two government institutions. The records of previous engagement and quality of performance in projects that related to competition and other similar fields, and geographical distribution of current/ impending projects.</p>
<p>Plan of approach and methodology</p>	<ul style="list-style-type: none"> • The timeline of deliverables allows for submission by the deadline set by the PCC. • The proposed approach is discussed in full detail, and the methodology is specifically tailored to the characteristics of the assignment and flexible enough to allow its adaptation to change that may occur during project execution. • Sampling design submitted has identified deviations from the requirements in the Terms of Reference, but the FGD/KII/IDI can still be conducted with acceptable results • Submission of existing policies of the firm pertaining to quality control measures 	<p>With respect to the study, submission of the following:</p> <ul style="list-style-type: none"> • Timeline of deliverables • Comprehensive FGD/KII/IDI plan • Sampling design • Documents pertaining to existing policies of the firm pertaining to (1) quality control measures and (2) refusal rate, minimum no. of callbacks and attrition rate, if any

Criteria	Minimum Qualifications	Bases
	<ul style="list-style-type: none"> Submission of existing policies of the firm pertaining to the following: refusal rate, minimum no. of callbacks and attrition rate, if any 	
Overall work commitment	<ul style="list-style-type: none"> At most 5 ongoing projects (including awarded but not yet started contracts) 	<p>Originally signed records of on-going (including awarded but not yet started contracts) and previous engagements</p> <p><i>Note: Contract/s that will terminate on or before 90 calendar days, from the deadline of submission of eligibility documents for this project, shall not be considered as 'ongoing'.</i></p>

B. Evaluation of The Technical and Financial Proposals of the Shortlisted Bidders

The technical and financial proposals of the shortlisted bidders will be evaluated using the Quality Cost- Based Evaluation (QCBE), pursuant to the pertinent provisions of R.A. No. 9184 or the Government Procurement Reform Act. The 60% - 40% quality to cost ratio shall be used for this purpose and shall be allocated as follows:

Criteria	Bases	Weight (%)
Technical Proposal		60%
Quality of personnel to be assigned to the project (20%)	As specified in the qualifications above, and the submission of a duly notarized Omnibus Sworn Statement using the prescribed format of R.A. No. 9184	
Experience and capability of the service provider (30%)		
Plan of approach and methodology (40%)	Timeliness, clarity, feasibility of the written proposal, and overall quality of proposed work	
Overall work commitment (10%)	<p>Originally signed records of on-going (including awarded but not yet started contracts) and previous engagements</p> <p><i>Note: Contract/s that will terminate on or before 90 calendar days, from the deadline of submission of eligibility documents for this project, shall not be considered as 'ongoing'.</i></p>	
Financial Proposal		40%
Total		100%

The bidder with the highest rated score based on the technical proposal submitted, provided that the score shall pass the hurdle rate of 85 points, all eligible documents and other relevant documents for the procurement of this project are legal and valid in reference to the requirements of R.A. No. 9184, shall be the Highest Rated and Responsive Bidder.

VIII. Confidentiality of Data and Information

All the materials, data and information used and generated through the FGD/KII/IDI will be the sole property of the PCC. The service provider and any of its personnel shall not use nor disseminate these documents for their own research purposes without the written consent of the PCC.

IX. Liquidated Damages

If the contractor fails to deliver any or all of the goods and/or to perform the services within the period specified in this contract, the Procuring Entity shall, without prejudice to its other remedies under this contract and under the applicable law, deduct from the contract price as liquidated damages, the applicable rate of one-tenth (1/10) of one percent (1%) of the cost of unperformed portion of every day of delay. The maximum deduction shall be ten percent (10%) of the amount of the contract. Once the maximum is reached, the procuring entity reserves the right to rescind the contract, without prejudice to other courses of action and remedies open to it.

X. Dispute Resolution

Should any dispute related to the TOR and/or rights of the parties arise, the same shall be submitted to mutual consultation, mediation and arbitration, in the order of application. The venue of the proceedings shall be in Quezon City.

In case of a court suit, the venue shall be the courts of competent jurisdiction in Quezon City, to the exclusion of all other courts.

Any amendment or additional terms and conditions to the TOR must be in writing, signed and acknowledged by the Parties.

Approved by:



BENJAMIN E. RADO, JR., PhD
Director IV, Economics Office

CONFORME:

Name and Signature of Authorized Representative

Designation

Name of Company

Date

ANNEX A
ESTIMATED COST PER FGD/KII/IDI

Method	Area	Medium	Estimated Amount (in PhP)
FGD	NCR	Face-to-face	
		Telephone	
		Online	
	Bulacan, Cavite, Laguna, Rizal, and other provinces near NCR <i>(Please specify others, if any)</i>	Face-to-face	
		Telephone	
		Online	
	Balance Luzon	Face-to-face	
		Telephone	
		Online	
	Visayas	Face-to-face	
		Telephone	
		Online	
	Mindanao	Face-to-face	
		Telephone	
		Online	
KII	NCR	Face-to-face	
		Telephone	
		Online	
	Bulacan, Cavite, Laguna, Rizal, and other provinces near NCR <i>(Please specify others, if any)</i>	Face-to-face	
		Telephone	
		Online	
	Balance Luzon	Face-to-face	
		Telephone	
		Online	
	Visayas	Face-to-face	
		Telephone	
		Online	
	Mindanao	Face-to-face	
		Telephone	
		Online	
IDI	NCR	Face-to-face	
		Telephone	
		Online	
	Bulacan, Cavite, Laguna, Rizal, and other provinces near NCR <i>(Please specify others, if any)</i>	Face-to-face	
		Telephone	
		Online	
	Balance Luzon	Face-to-face	
		Telephone	
		Online	
	Visayas	Face-to-face	
		Telephone	
		Online	

Method	Area	Medium	Estimated Amount (in PhP)
	Mindanao	Face-to-face	
		Telephone	
		Online	

Name and Signature of Authorized Representative

Date Signed

ANNEX B
RATING CRITERIA FOR EVALUATION OF THE TECHNICAL PROPOSAL

CRITERIA	PARAMETERS	RATING SYSTEM		WEIGHT (%)
Experience and capability of the service provider (30%)	Number of years in operations/existence	100	In operation as a survey firm for more than 3 years	30%
		85	In operation as a survey firm for 3 years	
		0	In operation as a survey firm for less than 3 years	
	Number of organizations engaged, as specified in the TOR	100	Has engaged with more than five (5) companies/institutions	30%
		85	Has engaged with five (5) companies/institutions	
		0	Has engaged with less than five (5) companies/institutions	
	Number of government organizations engaged as specified in the TOR	100	Has engaged with more than two (2) organizations belonging to the public sector in similar or relevant nature of work as mentioned above	20%
		85	Has engaged with two (2) organizations belonging to the public sector in similar or relevant nature of work as mentioned above	
		0	Has engaged with less than two (2) organizations belonging to the public sector in similar or relevant nature of work as mentioned above	
	Single largest project within the past five (5) years	100	More than the ABC	20%
		85	Equal to the ABC	
		0	Less than the ABC	
Quality of personnel to be assigned to the project (20%)	Educational attainment of the Project Manager	100	PhD holder in any relevant field of Social Science, Communication, and/or Statistics	20%
		90	MS/MA holder in any relevant field of Social Science, Communication, and/or Statistics	
		85	BS/BA holder in any relevant field of Social Science, Communication, and/or Statistics	
		0	No degree	
	Number of hours of relevant training of the Project Manager	100	With more than 16 hours of relevant training	15%
		85	With 16 hours of relevant training	
		0	With less than 16 hours of relevant training	
	Years of experience of the Project Manager	100	With 10 or more years of experience in handling Research and/or Project Management related to FGDs/KIIs/IDIs	15%

CRITERIA	PARAMETERS	RATING SYSTEM		WEIGHT (%)
		85	With 5-9 years of experience in handling Research and/or Project Management related to FGDs/KIIs/IDIs	
		0	With less than 5 years of experience in handling Research and/or Project Management related to FGDs/KIIs/IDIs	
	Educational attainment of the Facilitator	100	PhD holder in any relevant field of Social Science, Communication, and/or Statistics	20%
		90	MS/MA holder in any relevant field of Social Science, Communication, and/or Statistics	
		85	BS/BA holder in any relevant field of Social Science, Communication, and/or Statistics	
		0	No degree	
	Number of hours of relevant training of the Facilitator	100	With more than 16 hours of relevant training	15%
		85	With 16 hours of relevant training	
		0	With less than 16 hours of relevant training	
	Years of experience of the Facilitator	100	With 10 or more years of experience in handling Research and/or Project Management related to FGDs/KIIs/IDIs	15%
		85	With 5-9 years of experience in handling Research and/or Project Management related to FGDs/KIIs/IDIs	
		0	With less than 5 years of experience in handling Research and/or Project Management related to FGDs/KIIs/IDIs	
Plan of approach and methodology (40%)	Timing of deliverables	100	Very Good The deliverables are for submission at least two to four days before the required timeline and schedule set by the PCC	20%
		85	Good The deliverables are for submission on the day of the schedule set by the PCC	
		0	Poor The proposal indicates late submission of the required deliverables	
	Comprehensiveness of FGD/KII/IDI plan	100	Very Good Characteristics under “good” are present with additional activities/recommendations that add value to the project. Important issues are approached in an innovative and	20%

CRITERIA	PARAMETERS	RATING SYSTEM		WEIGHT (%)
			efficient way, indicating that the offeror has understood the main issues of the assignment and has outstanding knowledge of new solutions. The proposal details ways to improve the results and the quality of the assignment by using various approaches, methodologies, and knowledge.	
		85	Good The proposed approach is discussed in full detail, and the methodology is specifically tailored to the characteristics of the assignment and flexible enough to allow its adaptation to changes that may occur during project execution.	
		0	Poor The steps to carry out the different activities of the TOR are discussed generically. The approach is standard and not specifically tailored to the assignment. Although the approach and methodology are suitable, they don't include a discussion on how the offeror proposes to deal with critical characteristics of the assignment.	
	Sampling design	100	Very Good The sampling design submitted is compliant with the requirements in the Terms of Reference	25%
		85	Good The sampling design submitted has identified deviation from the requirements in the Terms of Reference, but the FGD/KII/IDI can still be conducted with acceptable results	
		0	Poor The sampling design is discussed generically, and the specific information are not tailored to the assignment, thus, it is deemed that the FGD/KII/IDI cannot be conducted with acceptable results	
	Quality control measures to be used during data collection,	100	Very Good Quality control measures submitted are carefully designed and compliant with	25%

CRITERIA	PARAMETERS	RATING SYSTEM		WEIGHT (%)
	data entry and data cleaning; interviews will be computer/tablet assisted		the requirements in the Terms of Reference	
		85	Good Quality control measures submitted have identified deviation from the requirements in the Terms of Reference, but the FGD/KII/IDI can still be conducted with acceptable results	
		0	Poor Quality control measures submitted are generic, and the specific information are not tailored to the assignment, thus, it is deemed that the FGD/KII/IDI cannot be conducted with acceptable results	
	Existing policies of the firm pertaining to the following: refusal rate, minimum no. of callbacks and attrition rate, if any	100	Submission of existing policies of the firm pertaining to the following: refusal rate, minimum no. of callbacks and attrition rate, if any	10%
		0	Non-submission of existing policies of the firm pertaining to the following: refusal rate, minimum no. of callbacks and attrition rate, if any	
Overall work commitment (10%)	Number of ongoing projects	100	Less than 5 ongoing projects (including awarded by not yet started contracts)	100%
		85	5 ongoing projects (including awarded by not yet started contracts)	
		0	More than 5 ongoing projects (including awarded by not yet started contracts)	

Financial Proposal

Rating = (LAP / AOP) x % Allocation

Where:

- AOP = amount of offer in the financial proposal
- LAP = lowest amount offered among offerors
- % Allocation = percentage assigned to the financial proposal